

# Résolution d'équations logiques

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# Introduction

## Unification problem in a logical system $L$

- ▶ Given a formula  $\psi(x_1, \dots, x_n)$
- ▶ Determine whether there exists formulas  $\varphi_1, \dots, \varphi_n$  such that  $\psi(\varphi_1, \dots, \varphi_n)$  is in  $L$

## Admissibility problem in a logical system $L$

- ▶ Given a rule of inference  $\frac{\varphi_1(x_1, \dots, x_n), \dots, \varphi_m(x_1, \dots, x_n)}{\psi(x_1, \dots, x_n)}$
- ▶ Determine whether for all formulas  $\chi_1, \dots, \chi_n$ , if  $\varphi_1(\chi_1, \dots, \chi_n), \dots, \varphi_m(\chi_1, \dots, \chi_n)$  are in  $L$  then  $\psi(\chi_1, \dots, \chi_n)$  is in  $L$

# Introduction

## Why unification?

### Algebraic semantics of classical propositional logic

**Boolean algebras**  $(A, 0_A, 1_A, -_A, \cup_A, \cap_A)$

- ▶ Given a finite set  $\{(\varphi_i, \psi_i) : i = 1 \dots n\}$  of pairs of formulas
- ▶ Determine if there exists a substitution  $\sigma$  such that
  - ▶  $\models_{BA} \sigma(\varphi_i) = \sigma(\psi_i)$  for all  $i = 1 \dots n$
  - ▶  $\models_{BA} \sigma(\varphi_i) \leftrightarrow \sigma(\psi_i)$  for all  $i = 1 \dots n$

### Algebraic semantics of intuitionistic propositional logic

**Heyting algebras**  $(A, 0_A, 1_A, \cup_A, \cap_A, \rightarrow_A)$

- ▶ Given a finite set  $\{(\varphi_i, \psi_i) : i = 1 \dots n\}$  of pairs of formulas
- ▶ Determine if there exists a substitution  $\sigma$  such that
  - ▶  $\models_{HA} \sigma(\varphi_i) = \sigma(\psi_i)$  for all  $i = 1 \dots n$
  - ▶  $\models_{HA} \sigma(\varphi_i) \leftrightarrow \sigma(\psi_i)$  for all  $i = 1 \dots n$

# Introduction

## Why unification?

### Description logic

Given concept definitions  $C(x_1, \dots, x_n)$  and  $D(x_1, \dots, x_n)$

- ▶ **Determine** whether there are some redundancies between  $C(x_1, \dots, x_n)$  and  $D(x_1, \dots, x_n)$
- ▶ **Solve**  $C(x_1, \dots, x_n) \equiv D(x_1, \dots, x_n)$

### Epistemic planning

Given variable-free epistemic formulas  $\varphi(p_1, \dots, p_m)$  and

$\psi(p_1, \dots, p_m)$

- ▶ **Determine** whether there exists a public announcement  $\chi$  such that  $\models \varphi \rightarrow \langle \chi! \rangle \psi$
- ▶ **Solve**  $\models \varphi \rightarrow \langle \chi! \rangle \psi$

# Introduction

**If  $L$  is consistent then the following are equivalent:**

- ▶ Formula  $\varphi(x_1, \dots, x_n)$  is **unifiable**
- ▶ Rule  $\frac{\varphi(x_1, \dots, x_n)}{\perp}$  is **non-admissible**

**If  $L$  is finitary then the following are equivalent:**

- ▶ Rule  $\frac{\varphi_1(x_1, \dots, x_n), \dots, \varphi_m(x_1, \dots, x_n)}{\psi(x_1, \dots, x_n)}$  is **admissible**
- ▶ Formulas  $\psi(x_1, \dots, x_n)$  is **in  $L$**  for each maximal unifiers  $(x_1, \dots, x_n)$  of formulas  $\varphi_1(x_1, \dots, x_n), \dots, \varphi_m(x_1, \dots, x_n)$

# Introduction

## Unification: some examples

- ▶ The formula  $(x \rightarrow p) \wedge (q \rightarrow y)$  is **unifiable** in *CPL*
- ▶ The formula  $\Box \neg x \vee \Box x$  is **unifiable** in modal logic *K*

## In Classical Logic

- ▶ Unification **is equivalent to** satisfiability
- ▶ Why ? Use the inference rule of **Uniform Substitution**

## In Modal Logic

- ▶ Unification in *S4*, *S5*, etc **is not equivalent to** satisfiability
- ▶ Why ? Consider the formula  $\Diamond x \wedge \Diamond \neg x$  and use the inference rule of Uniform Substitution

# Introduction

## About Classical Propositional Logic

Classical Propositional Logic is **structurally complete**

- ▶ Thus, **admissibility in Classical Propositional Logic is decidable**

## About intermediate logics

**Rybakov (1981): If  $L$  is an intermediate logic then the following are equivalent**

- ▶ Rule  $\mathcal{R}$  is **admissible** in  $L$
- ▶ The **modal translation of rule  $\mathcal{R}$**  is **admissible** in the greatest modal companion of  $L$

# Introduction

## Rybakov (1982)

- ▶ The admissibility problem in extensions of  $S4.3$  is **decidable**

## Rybakov (1984)

- ▶ The admissibility problem in  $S4$  is **decidable**

## Chagrov (1992)

- ▶ There exists a **decidable normal modal logic** with an **undecidable** admissibility problem

## Wolter and Zakharyashev (2008)

- ▶ The unification problem for **any normal modal logic** between  $K_U$  and  $K4_U$  is **undecidable**



# Introduction

## Contents

- ▶ **Definitions**
- ▶ Boolean unification
- ▶ Modal unification
- ▶ Unification types in modal logics
- ▶ Recent advances

# Definitions

Let  $L$  be a propositional logic

## Substitutions

- ▶  $\sigma$ : variable  $x \mapsto$  formula  $\sigma(x)$

## Applying substitutions to formulas

- ▶  $\sigma(\varphi(x_1, \dots, x_n)) = \varphi(\sigma(x_1), \dots, \sigma(x_n))$

## Composition of substitutions

- ▶  $\sigma \circ \tau$ : variable  $x \mapsto$  formula  $\tau(\sigma(x))$

## Equivalence relation between substitutions

- ▶  $\sigma \simeq_L \tau$  iff for all variables  $x$ ,  $\sigma(x) \leftrightarrow \tau(x) \in L$
- ▶ “ $\sigma$  and  $\tau$  are  $L$ -equivalent”

## Partial order between substitutions

- ▶  $\sigma \preceq_L \tau$  iff there exists a substitution  $\mu$  such that  $\sigma \circ \mu \simeq_L \tau$
- ▶ “ $\sigma$  is less specific, more general than  $\tau$  in  $L$ ”

# Definitions

Let  $L$  be a propositional logic

## Unifiers

- ▶ A substitution  $\sigma$  is a unifier of a formula  $\varphi$  iff  $\sigma(\varphi) \in L$

## Complete sets of unifiers

- ▶ A set  $\Sigma$  of unifiers of a formula  $\varphi$  is complete iff for all unifiers  $\tau$  of  $\varphi$ , there exists a unifier  $\sigma$  of  $\varphi$  in  $\Sigma$  such that  $\sigma \preceq_L \tau$

## Important questions

- ▶ Given a formula, has it a unifier?
- ▶ If so, has it a minimal complete set of unifiers?
- ▶ If so, how large is this set? Is this set effectively calculable?

- ▶ Definitions
- ▶ **Boolean unification**
- ▶ Modal unification
- ▶ Unification types in modal logics
- ▶ Unification in description logics
- ▶ Recent advances

# Boolean unification

## Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi)$

## Abbreviations for $\top$ , $\wedge$ , etc

- ▶ As usual

## Examples of Boolean unification problems

- ▶  $(x \leftrightarrow y) \leftrightarrow (x \vee y)$
- ▶  $(x \rightarrow y) \wedge (\neg x \rightarrow z)$
- ▶  $(x \rightarrow p) \wedge (q \rightarrow y)$

# Boolean unification

Proposition:

Without parameters, Boolean unification is **NP-complete**

- ▶  $\varphi(\bar{x})$  is *CPL*-unifiable  $\iff \exists \bar{x} \varphi(\bar{x})$  is *QBF*-valid

With parameters, Boolean unification is  **$\Pi_2^P$ -complete**

- ▶  $\varphi(\bar{p}, \bar{x})$  is *CPL*-unifiable  $\iff \forall \bar{p} \exists \bar{x} \varphi(\bar{p}, \bar{x})$  is *QBF*-valid

**Baader (1998)**

# Boolean unification

## Projective formulas

- ▶ A formula  $\varphi$  is said to be **projective** iff it has a unifier  $\sigma$  such that  $\varphi \rightarrow (\sigma(x) \leftrightarrow x)$  is in *CPL*

Any unifier  $\sigma$  of  $\varphi$  satisfying the above condition is called a **projective unifier** of  $\varphi$

**Lemma: Projective unifiers are closed under compositions**

**Lemma: Projective unifiers are most general unifiers**

# Boolean unification

**Lemma:** Unifiable formulas are projective

**Proof:** Consider a unifier  $\sigma$  of  $\varphi$

- ▶ Let  $\epsilon$  be the substitution such that
$$\epsilon(x) = (\varphi \wedge x) \vee (\neg\varphi \wedge \sigma(x))$$
- ▶ **Fact:**  $\epsilon$  is a projective unifier of  $\varphi$

**Proposition:** Boolean unification is unitary, i.e. every unifiable formula has a most general unifier

Remarks about  $\epsilon$

- ▶  $\epsilon$  is the so-called “Löwenheim substitution”
- ▶ If  $\sigma$  is atom-free then  $\epsilon$  can be defined by
  - ▶  $\epsilon(x) = \varphi \wedge x$  when  $\sigma(x) = \perp$
  - ▶  $\epsilon(x) = \varphi \rightarrow x$  when  $\sigma(x) = \top$



- ▶ Definitions
- ▶ Boolean unification
- ▶ **Modal unification**
- ▶ Unification types in modal logics
- ▶ Unification in description logics
- ▶ Recent advances

# Modal unification

## Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$

## Abbreviation

- ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$

## Examples of modal unification problems

- ▶  $\Box\neg x \vee \Box x$
- ▶  $x \rightarrow \Box x$
- ▶  $(x \rightarrow p) \wedge (x \rightarrow \Box(p \rightarrow x))$

# Modal unification

## Semantics

- ▶ **Frame:** directed graph  $\mathcal{F} = (W, R)$
- ▶ **Models:**  $\mathcal{M} = (W, R, V)$  where  $V: x, p \mapsto V(x), V(p) \subseteq W$

## Truth conditions in a model

- ▶  $\mathcal{M}, s \models x$  iff  $s \in V(x)$  and  $\mathcal{M}, s \models p$  iff  $s \in V(p)$
- ▶  $\mathcal{M}, s \models \Box\varphi$  iff  $\forall t \in W$ , if  $sRt$  then  $\mathcal{M}, t \models \varphi$

## Validity in a frame

- ▶  $\varphi$  is **valid** in frame  $\mathcal{F}$  iff  $\varphi$  is true at every node of every model based on  $\mathcal{F}$

## Normal modal logic $L$ determined by a class $\mathcal{C}$ of frames

- ▶ Set of all formulas that are valid in the frames of  $\mathcal{C}$

# Modal unification

**Lemma:** The unification problem **is trivially decidable** (***NP*-complete**) for any normal modal logic containing  $\diamond T$

▶ *KD*, *KT*, *S4*, *S4.3*, *S5*

— **Rybakov 1984, 1997:** The unification and admissibility problems **are decidable** for intuitionistic logic, *GL* and *S4*

— **Jeřábek 2005, 2007:** The admissibility problem **is *coNEXPTIME*-complete** for intuitionistic logic, *GL* and *S4*

— **Chagrov 1992:** Only one — rather artificial — example of a **decidable** unimodal logic for which the admissibility problem is **undecidable**

— **Wolter and Zakharyashev 2008:** The unification problem for modal logics between  $K_U$  and  $K4_U$  **is undecidable**

# Modal unification

The unification and admissibility problems for  $K$  itself . . .

- ▶ . . . still remain **open**

Nothing is known about

- ▶ **The decidability status** of the unification and admissibility problems for
  - ▶ Basic modal logic  $K$
  - ▶ Various multimodal logics
  - ▶ Various hybrid logics
  - ▶ Various description logics

- ▶ Definitions
- ▶ Boolean unification
- ▶ Modal unification
- ▶ **Unification types in modal logics**
- ▶ Recent advances

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type unitary (1)** for  $L$  iff

- ▶ There exists a complete minimal set  $\Sigma$  of  $L$ -unifiers of  $\varphi$
- ▶  $Card(\Sigma) = 1$

Example in *CPL*:  $(x \rightarrow p) \wedge (q \rightarrow y)$  is unitary

- ▶  $\sigma(x) = p \wedge x$  and  $\sigma(y) = q \vee y$

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type finitary ( $\omega$ )** for  $L$  iff

- ▶ There exists a complete minimal set  $\Sigma$  of  $L$ -unifiers of  $\varphi$
- ▶  $Card(\Sigma) \neq 1$  but  $\Sigma$  is finite

Example in *IPL*:  $x \vee \neg x$  is finitary

- ▶  $\sigma(x) = \top$
- ▶  $\tau(x) = \perp$



# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type infinitary** ( $\infty$ ) for  $L$  iff

- ▶ There exists a complete minimal set  $\Sigma$  of  $L$ -unifiers of  $\varphi$
- ▶  $\Sigma$  is infinite

No known example of an infinitary formula in modal logics

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type nullary (0)** for  $L$  iff

- ▶ There exists no complete minimal set of  $L$ -unifiers of  $\varphi$

Example in K:  $x \rightarrow \Box x$  is nullary

- ▶  $\sigma_{\top}(x) = \top$
- ▶  $\sigma_k(x) = \Box^{<k} x \wedge \Box \perp$

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic and  $\varphi$  be a formula

An  **$L$ -unifier** of  $\varphi$  is a substitution  $\sigma$  such that

- ▶  $\sigma(\varphi) \in L$

We shall say that  $\varphi$  is of **type nullary (0)** for  $L$  iff

- ▶ There exists no complete minimal set of  $L$ -unifiers of  $\varphi$

Example in K:  $\Box\neg x \vee \Box x$  is finitary

- ▶  $\sigma(x) = \top$
- ▶  $\tau(x) = \perp$

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic

We shall say that  $L$  is of **type unitary** iff

- ▶ Every  $L$ -unifiable formula is **unitary**

We shall say that  $L$  is of **type finitary** iff

- ▶ Every  $L$ -unifiable formula is **unitary or finitary**
- ▶ There are finitary  $L$ -unifiable formulas

## Examples

- ▶ Unification in **classical propositional logic is unitary**
- ▶ Unification in **intuitionistic propositional logic is finitary**

# Unification types in modal logics

## Unification types in propositional logic

Let  $L$  be a propositional logic

We shall say that  $L$  is of **type infinitary** iff

- ▶ Every  $L$ -unifiable formula is **unitary or finitary or infinitary**
- ▶ There are infinitary  $L$ -unifiable formulas

We shall say that  $L$  is of **type nullary** iff

- ▶ There are nullary  $L$ -unifiable formulas

### Example

- ▶ No known example of an infinitary modal logic
- ▶ Unification in **modal logic  $K$  is nullary**

# Unification types in modal logics

## Unification in $S5$

### Modal logic $S5$

- ▶ Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$

- ▶ Abbreviations

- ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$

- ▶ Semantics

- ▶ **Frame:** partition  $\mathcal{F} = (W, R)$ , i.e.  $R$  is an **equivalence relation**

- ▶ **Model:**  $\mathcal{M} = (W, R, V)$  where  $V: x, p \mapsto V(x), V(p) \subseteq W$

- ▶ **Truth conditions** in a model  $\mathcal{M} = (W, R, V)$

- ▶  $\mathcal{M}, s \models x$  iff  $s \in V(x)$  and  $\mathcal{M}, s \models p$  iff  $s \in V(p)$

- ▶  $\mathcal{M}, s \models \Box\varphi$  iff  $\forall t \in W$ , if  $sRt$  then  $\mathcal{M}, t \models \varphi$

# Unification types in modal logics

## Unification in S5

### Projective formulas

- ▶ A formula  $\varphi$  is said to be **projective** iff it has a unifier  $\sigma$  such that  $\Box\varphi \rightarrow (\sigma(x) \leftrightarrow x)$  is in S5

Any unifier  $\sigma$  of  $\varphi$  satisfying the above condition is called a **projective unifier** of  $\varphi$

**Lemma** Projective unifiers are closed under compositions

**Lemma** Projective unifiers are most general unifiers

# Unification types in modal logics

## Unification in $S5$

### **Lemma** Unifiable formulas are projective

**Proof:** Consider a unifier  $\sigma$  of  $\varphi$

- ▶ Let  $\epsilon$  be the substitution such that
$$\epsilon(x) = (\Box\varphi \wedge x) \vee (\neg\Box\varphi \wedge \sigma(x))$$
- ▶ **Fact:**  $\epsilon$  is a projective unifier of  $\varphi$

**Proposition**  $S5$  unification is unitary, i.e. every unifiable formula has a most general unifier

### Remarks about $\epsilon$

- ▶  $\epsilon$  is the **Löwenheim substitution**
- ▶ If  $\sigma$  is atom-free then  $\epsilon$  can be defined by
  - ▶  $\epsilon(x) = \Box\varphi \wedge x$  when  $\sigma(x) = \perp$
  - ▶  $\epsilon(x) = \Box\varphi \rightarrow x$  when  $\sigma(x) = \top$



# Unification types in modal logics

## Unification in $K4$

### Modal logic $K4$

- ▶ Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$

- ▶ Abbreviations

- ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$

- ▶  $\Box^+\varphi ::= \varphi \wedge \Box\varphi$

- ▶ Semantics

- ▶ **Frame:** directed graph  $\mathcal{F} = (W, R)$  where  $R$  is **transitive**

- ▶ **Model:**  $\mathcal{M} = (W, R, V)$  where  $V: x, p \mapsto V(x), V(p) \subseteq W$

- ▶ **Truth conditions** in a model  $\mathcal{M} = (W, R, V)$

- ▶  $\mathcal{M}, s \models x$  iff  $s \in V(x)$  and  $\mathcal{M}, s \models p$  iff  $s \in V(p)$

- ▶  $\mathcal{M}, s \models \Box\varphi$  iff  $\forall t \in W$ , if  $sRt$  then  $\mathcal{M}, t \models \varphi$

# Unification types in modal logics

## Unification in $K4$

**Proposition (Rybakov 1984, 1997)**  $K4$ -unification **is decidable**

**Proposition (Ghilardi 2000)**  $K4$ -unification **is finitary**, i.e.

- ▶ For all formulas  $\varphi(x_1, \dots, x_n)$ , the cardinality of a minimal complete set of  $K4$ -unifiers **is finite**

# Unification types in modal logics

## Unification in $K4$

**Ghilardi (2000):** A formula  $\varphi(x_1, \dots, x_n)$  is said to be **projective** iff **there exists a substitution**  $\sigma$  such that

1.  $\sigma$  is a  $K4$ -unifier of  $\varphi$
2.  $\Box^+ \varphi \rightarrow (x_i \leftrightarrow \sigma(x_i)) \in K4$  for each  $i$  such that  $1 \leq i \leq n$

**Wroński (1995):** A formula  $\varphi(x_1, \dots, x_n)$  is said to be **transparent** iff **there exists a substitution**  $\sigma$  such that

1.  $\sigma$  is a  $K4$ -unifier of  $\varphi$
2. for all  $K4$ -unifiers  $\tau$  of  $\varphi$ ,  $\tau(x_i) \leftrightarrow \tau(\sigma(x_i)) \in K4$  for each  $i$  such that  $1 \leq i \leq n$

# Unification types in modal logics

## Unification in $K4$

**Ghilardi (2000):** A formula  $\varphi(x_1, \dots, x_n)$  is said to be **projective** iff **there exists a substitution**  $\sigma$  such that

1.  $\sigma$  is a  $K4$ -unifier of  $\varphi$
2.  $\Box^+ \varphi \rightarrow (x_i \leftrightarrow \sigma(x_i)) \in K4$  for each  $i$  such that  $1 \leq i \leq n$

For all  $A \subseteq \{1, \dots, n\}$ , let  $\theta_\varphi^A$  be the substitution defined by

- ▶  $\theta_\varphi^A(x_i) = \Box^+ \varphi \wedge x_i$  if  $i \notin A$
- ▶  $\theta_\varphi^A(x_i) = \Box^+ \varphi \rightarrow x_i$  if  $i \in A$

**Remark:** The substitution  $\theta_\varphi^A$  **satisfies condition 2**

# Unification types in modal logics

## Unification in $K4$

**Ghilardi (2000):** A formula  $\varphi(x_1, \dots, x_n)$  is said to be **projective** iff **there exists a substitution**  $\sigma$  such that

1.  $\sigma$  is a  $K4$ -unifier of  $\varphi$
2.  $\Box^+ \varphi \rightarrow (x_i \leftrightarrow \sigma(x_i)) \in K4$  for each  $i$  such that  $1 \leq i \leq n$

For all  $A \subseteq \{1, \dots, n\}$ , let  $\theta_\varphi^A$  be the substitution defined by

- ▶  $\theta_\varphi^A(x_i) = \Box^+ \varphi \rightarrow x_i$  if  $i \in A$
- ▶  $\theta_\varphi^A(x_i) = \Box^+ \varphi \wedge x_i$  if  $i \notin A$

Given an arbitrary enumeration  $A_1, \dots, A_{2^n}$  of the subsets of  $\{1, \dots, n\}$ , let  $\theta_\varphi = \theta_\varphi^{A_1} \circ \dots \circ \theta_\varphi^{A_{2^n}}$

# Unification types in modal logics

## Unification in $K4$

**Proposition** For all formulas  $\varphi(x_1, \dots, x_n)$ , if  $d = \text{depth}(\varphi)$  and  $N$  is the number of non- $\sim_d$ -equivalent models over  $x_1, \dots, x_n$ , the following statements are equivalent:

- ▶  $\theta_\varphi^{2N}$  is a  $K4$ -unifier of  $\varphi$
- ▶  $\varphi$  is projective
- ▶ **Ghilardi, S.:** *Best solving modal equations*. Annals of Pure and Applied Logic **102** (2000) 183–198.

**Corollary** It is decidable to determine whether a given formula  $\varphi$  is projective

# Unification types in modal logics

## Unification in $K4$

**Lemma** For all formulas  $\varphi$  and for all substitutions  $\sigma$ , if  $\sigma$  is a  $K4$ -unifier of  $\varphi$

- ▶ There exists a formula  $\psi$ ,  $depth(\psi) \leq depth(\varphi)$ , such that
  - ▶  $\psi$  is projective
  - ▶  $\sigma$  is a  $K4$ -unifier of  $\psi$
  - ▶  $\Box^+\psi \rightarrow \varphi \in K4$

**Proposition (Ghilardi 2000)**  $K4$ -unification is finitary, i.e.

- ▶ For all formulas  $\varphi(x_1, \dots, x_n)$ , the cardinality of a minimal complete set of  $K4$ -unifiers is finite

# Unification types in modal logics

## Unification in $K$

### Modal logic $K$

- ▶ Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid \Box\varphi$

- ▶ Abbreviations

- ▶  $\Diamond\varphi ::= \neg\Box\neg\varphi$

- ▶  $\Box^{<n}\varphi ::= \Box^0\varphi \wedge \dots \wedge \Box^{n-1}\varphi$  for each  $n \in \mathcal{N}$

- ▶ Semantics

- ▶ **Frame:** directed graph  $\mathcal{F} = (W, R)$

- ▶ **Model:**  $\mathcal{M} = (W, R, V)$  where  $V: x, p \mapsto V(x), V(p) \subseteq W$

- ▶ **Truth conditions** in a model  $\mathcal{M} = (W, R, V)$

- ▶  $\mathcal{M}, s \models x$  iff  $s \in V(x)$  and  $\mathcal{M}, s \models p$  iff  $s \in V(p)$

- ▶  $\mathcal{M}, s \models \Box\varphi$  iff  $\forall t \in W$ , if  $sRt$  then  $\mathcal{M}, t \models \varphi$



# Unification types in modal logics

## Unification in $K$

### Open question **Is $K$ -unification decidable?**

$K$ -unification **is not unitary** since

- ▶  $\sigma_{\top}(x) = \top$  and  $\sigma_{\perp}(x) = \perp$  constitute a minimal complete set of unifiers in  $K$  of the formula  $\Box\neg x \vee \Box x$

$K$ -unification **is nullary**, i.e.

- ▶ There exists a formula  $\varphi$  such that there exists no complete minimal set of  $K$ -unifiers of  $\varphi$

# Unification types in modal logics

## Unification in $K$

**Method (Jeřábek, 2014)** Study the  $K$ -unifiers of

- ▶  $x \rightarrow \Box x$

Consider the following substitutions

- ▶  $\sigma_n(x) = \Box^{<n}x \wedge \Box^n \perp$  for each  $n \in \mathcal{N}$

- ▶  $\sigma_{\top}(x) = \top$

### Lemma

- ▶  $\sigma_n$  **is a  $K$ -unifier** of  $x \rightarrow \Box x$  for each  $n \in \mathcal{N}$

- ▶  $\sigma_{\top}$  **is a  $K$ -unifier** of  $x \rightarrow \Box x$

### Lemma

- ▶  $\sigma_n \preceq_K \sigma_m$  iff  $m \leq n$

# Unification types in modal logics

## Unification in $K$

**Proposition (Jeřábek, 2014)** For all formulas  $\varphi$ ,  $\text{depth}(\varphi) = n$ ,

- ▶ If  $\varphi \rightarrow \Box\varphi \in K$  then either  $\varphi \rightarrow \Box^n\perp \in K$ , or  $\varphi \in K$

**Corollary** The following substitutions form a complete set of  $K$ -unifiers for the formula  $x \rightarrow \Box x$

- ▶  $\sigma_n(x) = \Box^{<n}x \wedge \Box^n\perp$  for each  $n \in \mathcal{N}$
- ▶  $\sigma_{\top}(x) = \top$

**Corollary**  $K$ -unification is nullary

# Unification types in modal logics

## Unification in other modal logics

### Intuitionistic propositional logic — *IPL*

**Ghilardi (1999):** for every *IPL*-unifiable formula  $\varphi$ , one can find a finite number of projective  $\psi_i$  such that

- ▶  $\psi_i \rightarrow \varphi$  is in *IPL*
- ▶ every *IPL*-unifier for  $\varphi$  is also an *IPL*-unifier for one of the  $\psi_i$

### Logic of weak excluded middle — *KC*

**Ghilardi (1999):**

- ▶ *KC* is **unitary**
- ▶ *KC* is the least intermediate logic having **unitary** unification

# Unification types in modal logics

## Unification in other modal logics

### Logic of Gödel and Dummett — $LC$

#### **Wroński (2008):**

- ▶ An intermediate logic  $L$  has **projective** unification iff  $LC \subseteq L$

### Extensions of $S4$

#### **Dzik and Wojtylak (2011):**

- ▶ In all extensions of  $S4.3$ , unifiable formulas have **projective** unifiers
- ▶ Extensions of  $S4$  in which all unifiable formulas have **projective** unifiers must contain  $S4.3$

# Unification types in modal logics

## Unification in other modal logics

### Extensions of $K4$

#### Ghilardi and Sacchetti (2004):

- ▶ Define the abbreviations
  - ▶  $\Box^+\varphi := (\Box\varphi \wedge \varphi)$
  - ▶  $\Diamond^+\varphi := (\Diamond\varphi \vee \varphi)$
- ▶  $K4.2^+$  is  $K4 + \Diamond^+\Box^+\varphi \rightarrow \Box^+\Diamond^+\varphi$
- ▶ An extension  $L$  of  $K4$  has **filtering** unification iff  $K4.2^+ \subseteq L$

### Splitting pair $(L(f_2), S4.2)$

#### Dzik (2006): For all extensions $L$ of $S4$

- ▶ Either  $L \subseteq L(f_2)$  or  $S4.2 \subseteq L$
- ▶ If  $L \subseteq L(f_2)$  then  $L$  is **not unitary**
- ▶ If  $S4.2 \subseteq L$  then  $L$  is **unitary or nullary**

- ▶ Definitions
- ▶ Boolean unification
- ▶ Modal unification
- ▶ Unification types in modal logics
- ▶ **Recent advances**

# Recent advances

$$KD = K + \diamond T$$

$KD$  is nullary

- ▶  $(x \rightarrow p) \wedge (x \rightarrow \Box(p \rightarrow x))$

B. and Gencer (2018)

$$KT = K + \Box\varphi \rightarrow \varphi$$

$KT$  is nullary

- ▶  $(x \rightarrow p) \wedge (x \rightarrow \Box(q \rightarrow y)) \wedge (y \rightarrow q) \wedge (y \rightarrow \Box(p \rightarrow x))$

B. (to appear)

$$KB = K + \varphi \rightarrow \Box\diamond\varphi$$

$KB$  is nullary

- ▶  $x \rightarrow (\neg p \wedge \neg q \rightarrow \Box(p \wedge \neg q \rightarrow \Box(\neg p \wedge q \rightarrow \Box(\neg p \wedge \neg q \rightarrow x))))$

B. and Gencer (submitted for publication)



# Recent advances

$$Alt_1 = K + \diamond\varphi \rightarrow \Box\varphi$$

- ▶  $Alt_1$  is **nullary** for unification
- ▶ The unification problem (without parameters) in  $Alt_1$  is decidable (in *PSPACE*)

B. and Tinchev (2016)

$$\text{Normal extensions of } K5 = K + \diamond\varphi \rightarrow \Box\diamond\varphi$$

- ▶ These modal logics are **unitary** for unification

$$K + \Box^k \perp \text{ for } k \geq 2$$

- ▶ These modal logics are **finitary** for unification

B., Rostamigiv and Tinchev (submitted for publication)

# Recent advances

## Unification in Dynamic Epistemic Logics

### Syntax

- ▶  $\varphi ::= x \mid p \mid \perp \mid \neg\varphi \mid (\varphi \vee \psi) \mid K_a\varphi \mid [\varphi!]\psi$

### Abbreviations

- ▶  $\hat{K}_a\varphi ::= \neg K_a\neg\varphi$
- ▶  $\langle\varphi!\rangle\psi ::= \neg[\varphi!]\neg\psi$

### Readings

- ▶  $K_a\varphi$ : “agent  $a$  knows that  $\varphi$  holds”
- ▶  $[\varphi!]\psi$ : “if  $\varphi$  holds then  $\psi$  will hold after  $\varphi$  is announced”
- ▶  $\hat{K}_a\varphi$ : “it is compatible with  $a$ ’s knowledge that  $\varphi$  holds”
- ▶  $\langle\varphi!\rangle\psi$ : “ $\varphi$  holds and  $\psi$  will hold after  $\varphi$  is announced”

# Recent advances

## A simple example of unification problem

Public announcements :  $K_a, K_b, \dots$  are S5 modalities

- ▶  $P_1 = \varphi \rightarrow \langle x! \rangle K_a \psi$  — with  $\psi$  Boolean formula
- ▶  $P_2 = (\varphi \rightarrow x) \wedge (\varphi \rightarrow K_a(x \rightarrow \psi))$
- ▶  $P_3 = (\varphi \rightarrow x) \wedge (\hat{K}_a \varphi \rightarrow (x \rightarrow \psi))$
- ▶  $P_4 = (\varphi \rightarrow x) \wedge (x \rightarrow (\hat{K}_a \varphi \rightarrow \psi))$
- ▶ **Necessary condition:**  $\models \varphi \rightarrow (\hat{K}_a \varphi \rightarrow \psi)$ , i.e.  $\models \varphi \rightarrow \psi$
- ▶ **Unifier of  $P_4$ :**
  - ▶  $\sigma(x) = \psi$
- ▶ **Most general unifier of  $P_4$ :**
  - ▶  $\epsilon(x) = (P_4 \wedge x) \vee (\neg P_4 \wedge \sigma(x))$
  - ▶  $\epsilon(x) = ((\hat{K}_a \varphi \rightarrow \psi) \wedge x) \vee (\varphi \wedge \neg x)$

# Recent advances

## Other examples of unification problems

- ▶  $\varphi \rightarrow \langle x! \rangle K_a \psi$
- ▶  $\varphi \rightarrow \langle x! \rangle (K_{a_1} \psi_1 \wedge \dots \wedge K_{a_n} \psi_n)$
- ▶  $\varphi \rightarrow \langle x! \rangle K_{a_1} \dots K_{a_n} \psi$
- ▶  $\varphi \rightarrow \langle K_b x! \rangle K_a \psi$
- ▶  $\varphi \rightarrow \langle K_b x! \rangle (K_{a_1} K_b \psi_1 \wedge \dots \wedge K_{a_1} \hat{K}_b \chi_1 \wedge \dots)$

# Recent advances

## A simple example of unification problem

Lies:  $K_a, K_b, \dots$  are *KD45* modalities

- ▶  $P_1 = \varphi \rightarrow \langle x! \rangle K_a \psi$  — with  $\psi$  Boolean formula
- ▶  $P_2 = (\varphi \rightarrow \neg x) \wedge (\varphi \rightarrow K_a(x \rightarrow \psi))$
- ▶ Unifier of  $P_2$ :
  - ▶  $\sigma(x) = \perp$
- ▶ Most general unifier of  $P_2$ :
  - ▶  $\epsilon(x) = ???$

# Conclusion

Some open problems

## Decidability of

- ▶ parameter-free unification in modal logic  $K$ ,  $KB$  ?
- ▶ unification with parameters in modal logics  $KD$ ,  $KDB$  ?
- ▶ unification with parameters in modal logics  $KT$ ,  $KTB$  ?
- ▶ unification with parameters in modal logics  $Alt_1$ ,  $Alt_2$  ?
- ▶ unification in implication fragments ?

## Type of

- ▶  $KB$ ,  $KD$ ,  $KDB$ ,  $KT$ ,  $KTB$  for parameter-free unification ?
- ▶  $S5 \otimes S5$  and other fusions of modal logics ?
- ▶  $S4.2 \times S4.2$  and other products of modal logics ?
- ▶  $K + \Box^k \perp$  and other locally tabular modal logics ?
- ▶ unification in implication fragments ?

Thank you!  
Questions?