

# La théorie des jeux en synthèse assistée par ordinateur

Véronique Bruyère  
UMONS Belgium

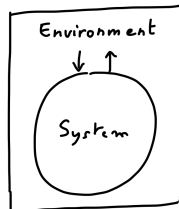
GDR 2019

- 1 Topic
- 2 Qualitative two-player zero-sum games
- 3 Quantitative two-player zero-sum games
- 4 Two-player zero-sum games: several extensions
- 5 Multiplayer non zero-sum games
- 6 Conclusion

# Topic

## Reactive systems

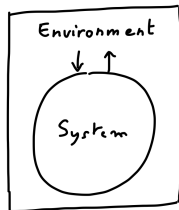
- System embedded into an uncontrollable environment
- It must satisfy some property against any behavior of the environment
- How to automatically design a correct controller for the system?



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## Reactive systems

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- It must satisfy some property against any behavior of the environment
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## Example

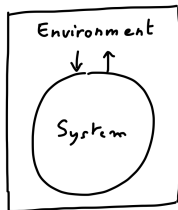
- System: autonomous robotized lawnmower
- Environment: weather, cat
- The lawnmower must cut the grass in any conditions
- How to design a correct lawnmower controller?



# Topic

## Reactive systems

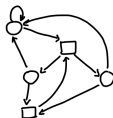
- System embedded into an uncontrollable environment
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## Modelization

- Two-player zero-sum game played on a finite directed graph
- Property = objective for the system
- Synthesis of a controller = construction of a winning strategy

game played  
on a graph



# This talk

## ■ General context

- Focus on **two-player zero-sum games** for the **synthesis** of controllers
- Extension to **multiplayer non zero-sum games** in the last part of the talk
- Introductory survey with some **classical** results and some recent **UMONS** results

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  - Can we **construct** it?
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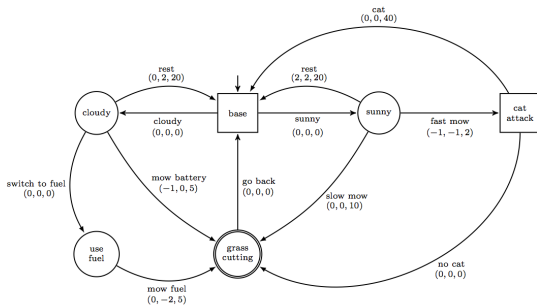
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- More details
  - in my survey **“Computer Aided Synthesis: a Game Theoretic Approach”** in the Proceedings of DLT 2017 [Bru17]
  - in the book chapter **“Graph Games and Reactive Synthesis”** [BCJ18]
  - in the book chapter **“Solution Concepts and Algorithms for Infinite Multiplayer Games”** [GU08]



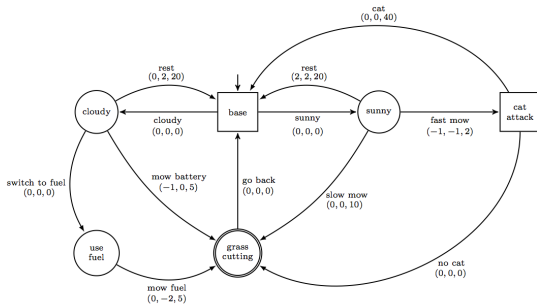
# Introductory example

Lawnmower [Ran12]: modeled as a game played on a weighted graph



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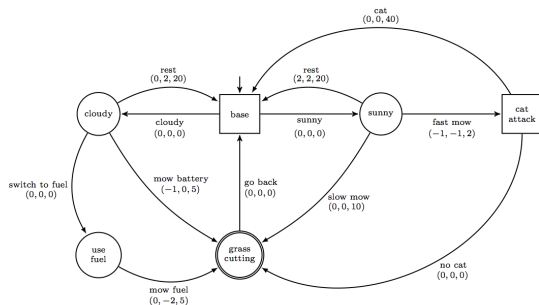
Lawnmower [Ran12]: modeled as a game played on a weighted graph



- **Vertices:** circles for the lawnmower, squares for the environment
- **Edges:** actions labeled by triples denoting changes in (solar battery, fuel level, elapsed time)

# Introductory example

Lawnmower [Ran12]: modeled as a game played on a weighted graph

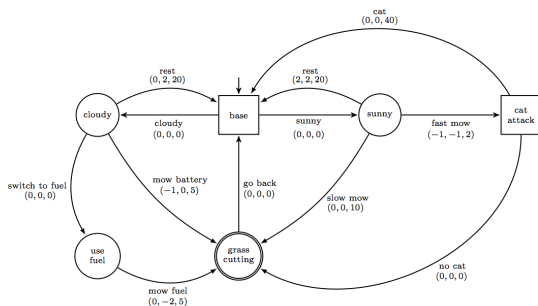


Specification as **objectives**

- **Büchi** objective : grass must be cut infinitely often
- **Energy** objective : battery and fuel must never drop below 0
- **Mean-payoff** objective : average time per action must be less than 10 in the long run

# Introductory example

Lawnmower [Ran12]: modeled as a game played on a weighted graph



Controller as the following strategy

- If sunny, mow slowly
- If cloudy
  - If solar battery  $\geq 1$ , mow on battery
  - otherwise, if fuel level  $\geq 2$ , mow on fuel
  - otherwise, rest at the base

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# Basic model

## Definition

Two-player zero-sum game  $G = (V, V_1, V_2, E, v_0)$ :

- $(V, E)$  finite directed graph (with no deadlock)
- $(V_1, V_2)$  partition of  $V$  with  $V_i$  controlled by player  $i \in \{1, 2\}$
- initial vertex  $v_0$

The players play in a turn-based way: they decide which edge  $(v, v')$  to follow for each  $v$  that they control

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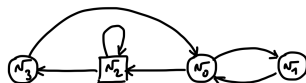
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### Paths

- **Play**: infinite path from  $v_0$   
 $\rho = \rho_0 \rho_1 \dots \in V^\omega$  in  $G$
- **History**: prefix  $h$  of a play



Player 1 ○, Player 2 □

## Basic model

**Objective:** set  $\Omega \subseteq V^\omega$  of plays

**Zero-sum** game: objective  $\Omega$  for player 1 and **opposite** objective  $V^\omega \setminus \Omega$  for player 2



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### Definition

Some classical  $\omega$ -regular objectives are:

- **Reachability** objective: visit a vertex of  $U \subseteq V$  at least once
- **Büchi** objective: visit a vertex of  $U$  infinitely often
- **Safety, Co-Büchi, Muller, Rabin, Streett**

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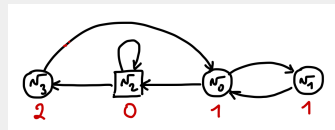
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Given a coloring  $c : V \rightarrow \mathbb{N}$

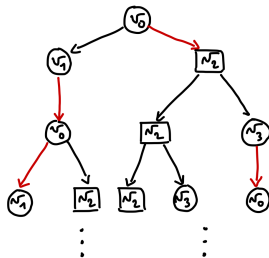
- **Parity** objective: the maximum color seen infinitely often is even



# Strategies

Strategy for player  $i$ :

function  $\sigma_i : V^* V_i \rightarrow V$  such that  
 $\sigma_i(hv) = v'$  with  $(v, v') \in E$

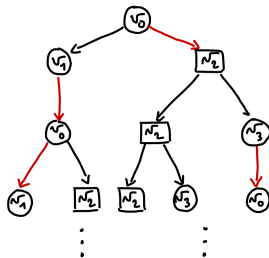


Unravelling of  $G$  from initial vertex  $v_0$

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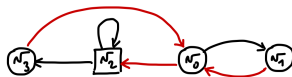
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Positional strategy: when  $\sigma_i(hv) = \sigma(v)$

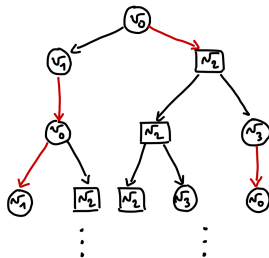


Game  $G$

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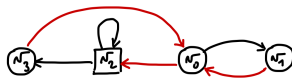
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Game  $G$

Finite-memory strategy: when  $\sigma_i(hv)$  only needs a finite information out of  $hv$  recorded in a finite-state machine

# Strategies

**Winning strategy for player  $i$ :** ensure his objective **against any** strategy of the other player

A game is **determined** from initial vertex  $v_0$  when

- either player 1 is winning for  $\Omega$  from  $v_0$
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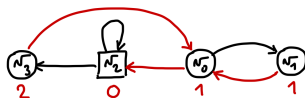
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## Example

**Parity game:** Player 1 is winning from every vertex with a positional strategy

- Either player 2 eventually stays at  $v_2$   
 $\rightarrow$  max color seen infinitely often = 0
- Or he infinitely often visits  $v_3$   
 $\rightarrow$  max color seen infinitely often = 2



# Martin's theorem

Theorem [Mar75]

Every game with **Borel** objectives is determined



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- Need of the **axiom of choice** to exhibit a non-determined game
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## Corollary

Every game with  **$\omega$ -regular** objectives is determined

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## Corollary

Every game with  **$\omega$ -regular** objectives is determined

## Algorithmic questions

- **Who** is the winner from initial vertex  $v_0$ ?
- **Complexity** class of this decision problem?
- Can we **construct** a winning strategy for the winner?
- **What kind** of winning strategy? positional, finite-memory?

## Algorithmic results for one-player games

Classical question in automata theory: **Player 1 wins** iff there exists a play satisfying the objective

- **Reachability** objective: emptiness of automata on finite words
- **Büchi** objective: emptiness of Büchi automata on infinite words

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### Example

- Positional winning strategies for Reachability and Büchi objectives
- Needs of memory for Muller objective
  - visit all the vertices of  $\{v_0, v_1, v_3\}$  infinitely often
- Necessity to alternate



## Algorithmic results for two-player games

**Results** [Bee80, EJ91, Imm81, Hor08], see also [GTW02, Zie98]

- Decision problem: who is the winner from initial vertex  $v_0$ ?
- With what kind of winning strategy?

	Reach	Büchi	Parity	Muller
Complexity	P-complete		$\text{NP} \cap \text{co-NP}$	P-complete
Player 1 strategy	positional			finite-memory
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- More information on the proofs on slide 21

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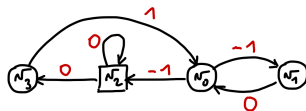
**Major open problem:** can we solve Parity games in P?

Recent **breakthrough** with a quasi-polynomial time algorithm [CJK<sup>+</sup>17]

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## Basic model

Extension with **weights** on the edges



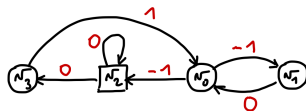
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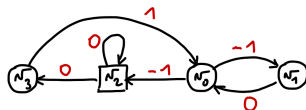
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Classical **payoff**  $f(\rho)$  of a play  $\rho = \rho_0\rho_1\rho_2\dots$ :

- $\text{Sup}(\rho) = \sup_{n \in \mathbb{N}} w(\rho_n, \rho_{n+1})$
- $\text{LimSup}(\rho) = \limsup_{n \rightarrow \infty} w(\rho_n, \rho_{n+1})$
- Mean-payoff  $\overline{\text{MP}}(\rho) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{k=0}^{n-1} w(\rho_k, \rho_{k+1})$
- Discounted sum  $\text{Disc}^\lambda(\rho) = \sum_{k=0}^{\infty} w(\rho_k, \rho_{k+1}) \lambda^k$ , where  $\lambda \in ]0, 1[$

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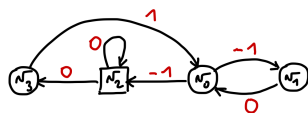
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Similar definitions with  $\text{Inf}(\rho)$ ,  $\text{LimInf}(\rho)$ ,  $\text{MP}(\rho)$

# Quantitative objectives

## Example



play  $\rho = (v_0 v_1)^\omega$

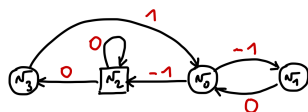
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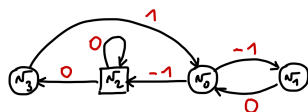
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**Lemma:** If  $\rho = hg^\omega$  is eventually periodic, then  $\overline{\text{MP}}(\rho) = \underline{\text{MP}}(\rho) =$  average weight of cycle  $g$



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**Lemma:** If  $\rho = hg^\omega$  is **eventually periodic**, then  $\overline{\text{MP}}(\rho) = \underline{\text{MP}}(\rho) =$  average weight of cycle  $g$

**Remark:** For qualitative objectives, **Boolean** payoff  $f(\rho) \in \{0, 1\}$

# Quantitative objectives

## Definition

Classical **quantitative** objectives are:

- **Threshold problem**: given a **threshold**  $\mu \in \mathbb{Q}$ :
  - **Sup** objective: ensure  $\mu \leq \text{Sup}(\rho)$
  - Similarly for the other payoff functions **LimSup**,  $\overline{\text{MP}}$ , ...
- **Constraint problem**: given a rational **interval**  $[\mu, \nu]$ ,
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## Corollary of Martin's Theorem

Games with **such quantitative objectives** are determined

- **Sup**, **LimSup**:  $\omega$ -regular
- $\overline{\text{MP}}$ ,  $\text{Disc}^\lambda$ : not  $\omega$ -regular, but Borel

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## Corollary of Martin's Theorem

Games with **such quantitative objectives** are determined

- ensure  $\mu \leq \text{Sup}(\rho) \Leftrightarrow$  visit an edge with a weight  $\geq \mu$  (Reachability)
- ensure  $\mu \leq \text{LimSup}(\rho) \Leftrightarrow$  visit such an edge infinitely often (Büchi)

## Algorithmic results for one-player games

### Theorem [CDH10]

Polynomial time algorithm for the **threshold problem**, with positional winning strategies

More information on the proofs on slide 21

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### Theorem [HR14, UW11a]

For the **constraint problem**, polynomial time algorithm

- Sup, Inf, LimSup, LimInf: positional winning strategies
- $\overline{\text{MP}}$ ,  $\underline{\text{MP}}$ : finite-memory winning strategies

**Open** for  $\text{Disc}^\lambda$

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For the **constraint problem**, polynomial time algorithm

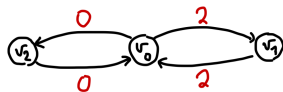
- Sup, Inf, LimSup, LimInf: positional winning strategies
- $\overline{\text{MP}}$ ,  $\underline{\text{MP}}$ : finite-memory winning strategies

Open for  $\text{Disc}^\lambda$

### Example

Mean-payoff with  $\mu = \nu = 1$

Necessity to alternate



## Algorithmic results for one-player games

Two related **open** problems:

### Constraint problem for $\text{Disc}^\lambda$

Given a rational **interval**  $[\mu, \nu]$ , does there exist a play  $\rho$  such that  $\mu \leq \text{Disc}^\lambda(\rho) \leq \nu$ ?

### Target discounted-sum (TDS) problem [BHO15]

Given four rational numbers  $a, b, t$  and  $\lambda \in ]0, 1[$ , does there exist an infinite sequence  $u = u_0 u_1 \dots \in \{a, b\}^\omega$  such that  $\sum_{n=0}^{\infty} u_n \lambda^n = t$ ?



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- TDS problem related to several open questions in mathematics and computer science [BHO15]
- related to **numeration systems**, i.e. to  $\beta$ -representations of real numbers [R57]
- TDS problem decidable when  $a = 0, b = 1$  and  $\lambda \geq \frac{1}{2}$  [R57]

## Algorithmic results for two-player games

Threshold problem [BSV04, EM79, ZP96]

	Reach Sup	Büchi LimSup	Parity	$\overline{MP}$	Disc <sup>λ</sup>	Muller
Complexity	P-complete		NP $\cap$ co-NP			P-complete
Player 1 strategy	positional					finite-memory
Player 2 strategy	positional					finite-memory

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**Constraint problem** [HR14, UW11a] Same results except

- finite-memory strategies for  $\overline{\text{MP}}$
- open for  $\text{Disc}^\lambda$

## Algorithmic results

### Theorem [GZ04]

Let  $G$  be a weighted game. If the payoff function  $f$  is **fairly mixing**, i.e.:

- 1  $f(\rho) \leq f(\rho') \Rightarrow f(h\rho) \leq f(h\rho')$
- 2  $\min\{f(\rho), f(h^\omega)\} \leq f(h\rho) \leq \max\{f(\rho), f(h^\omega)\}$
- 3  $\min\{f(h_0 h_2 h_4 \dots), f(h_1 h_3 h_5 \dots), \inf_i f(h_i^\omega)\}$   
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- **Many applications:** Reachability, Büchi, Parity, Sup, LimSup, Mean-payoff, Discounted-sum, ... (but not Muller)
- If the payoff function is **prefix-independent**, i.e.  $f(\rho) = f(h\rho)$ , then conditions 1. and 2. are satisfied
- **Simple proof** by induction on the number of edges

# Algorithmic results

Parity games in  $NP \cap co-NP$

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- in NP:
  - **Guess** a positional winning strategy  $\sigma$  player 1
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## Mean-payoff games in $NP \cap co-NP$

- Same approach
- One can compute in polynomial time the minimum (resp. maximum) average weight cycle in a weighted graph [Kar78]

- 1 Topic
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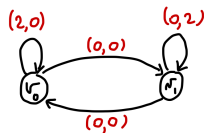
## Orderings on tuples of payoffs

- **Usual (partial) ordering** (see next slides)  
 $(x_1, y_1) \geq_{comp} (x_2, y_2)$  iff  $x_1 \geq x_2$  and  $y_1 \geq y_2$
- **Lexicographic ordering** [BBMU15], [BHR17]  
 $(x_1, y_1) \geq_{lex} (x_2, y_2)$  iff  $x_1 > x_2$  or  $(x_1 = x_2$  and  $y_1 \geq y_2)$
- **Orderings given by Boolean circuits** [BBMU15]

# Intersection of objectives

## Example

- One-player game, order  $\geq_{comp}$
- $k = 2$ ,  $\Omega = \Omega_1 \cap \Omega_2$   
with  $\Omega_1 = \overline{MP}(\rho) \geq 1$  for dimension 1  
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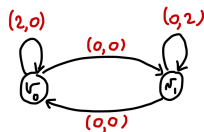
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  - Eventually periodic play  $\rho = hg^\omega$
  - Average weight of cycle  $g$  equal to

$$a \cdot (2, 0) + b \cdot (0, 0) + c \cdot (0, 2) = (2 \cdot a, 2 \cdot c) \not\geq_{comp} (1, 1)$$

with  $a + b + c = 1$  and  $b > 0$



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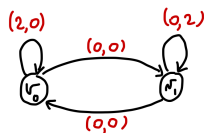
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- Player 1 is **winning** with **infinite-memory** strategies,

- even for ensuring  $\geq_{comp} (2, 2)$
- with  $\underline{MP}$  instead of  $\overline{MP}$ , but only for ensuring  $\geq_{comp} (1, 1)$



## Intersection of objectives

Homogeneous objectives [CDHR10, CHP07, FH13], [CRR14]

	Reach	Parity	<u>MP</u>	$\overline{\text{MP}}$
Complexity	PSPACE-complete	coNP-complete		$\text{NP} \cap \text{co-NP}$
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## Heterogeneous objectives

### Theorem

- [Vel15]: **Undecidability** for Boolean comb. of MP and  $\overline{\text{MP}}$  objectives
- [BHR16]: **PSPACE-completeness** for Boolean combinations of Inf, Sup, LimInf, LimSup objectives, and **finite-memory** winning strategies for both players
- [BHRR19]: Work in progress about the intersection of two objectives: mean-payoff and energy

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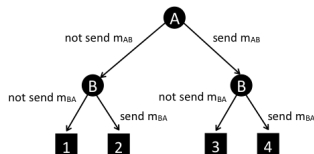
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  - Focus on the **synthesis of equilibria** instead of winning strategies
- Algorithmic problems
  - Does there always **exist** an equilibrium? Can we construct it?
  - Can we decide the existence of an equilibrium under some **constraints**?

# Introductory example

## Exchange protocol [CDFR17]

- Players Alice (A) and Bob (B) exchange messages
  - message  $m_{AB}$ : models the transfer of property of a house from A to B
  - message  $m_{BA}$ : models the payment of the price of the house from B to A



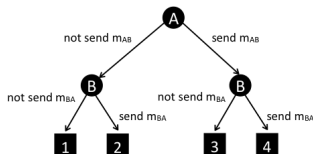
Tree with 4 plays

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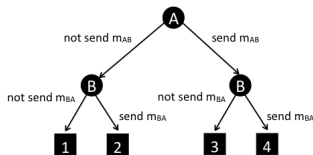
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- **Solution (Nash equilibrium)**

- A plays  $m_{AB}$  and then B plays  $m_{BA}$
- if A plays  $\neg m_{AB}$ , then B plays  $\neg m_{BA}$

- A and B have their objective satisfied and have **no incentive to deviate**

## Introductory example

### Modified exchange protocol [CDFR17]

- Alice and Bob have their **own primary objective** and **external secondary objective**

- Objectives of A:

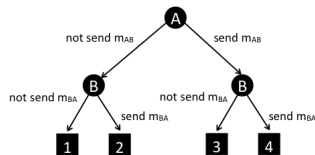
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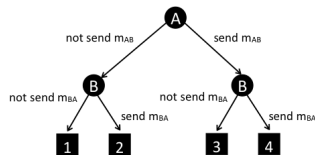
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### ■ Solution

- Play 4 is no longer a solution since B will deviate to play 3
- Solution (**secure equilibrium**):
  - A plays  $\neg m_{AB}$  and then B plays  $\neg m_{BA}$
  - If A plays  $m_{AB}$ , B plays  $\neg m_{BA}$
- A, B have no incentive to deviate, their own objectives are **not satisfied**



Tree with 4 plays

# Model

## Definition

$n$ -player non zero-sum game  $G = (V, (V_i)_{i \in \Pi}, E, v_0, \bar{w})$ :

- Set  $\Pi$  of  $n$  players,  $n \geq 1$
- $(V_i)_{i \in \Pi}$  partition of  $V$  with  $V_i$  controlled by player  $i \in \Pi$
- optional:  $\bar{w} = (w_i)_{i \in \Pi} : E \rightarrow \mathbb{Z}^n$  such that
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Objective  $\Omega_i$  for each player  $i \in \Pi$

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Strategy profile  $(\sigma_i)_{i \in \Pi}$

- with outcome  $\rho = \langle (\sigma_i)_{i \in \Pi} \rangle_{v_0}$  from initial vertex  $v_0$
- with payoff  $(f_i(\rho))_{i \in \Pi}$

# Nash equilibria

Classical notion such that

- each player wants to maximize his payoff (he is rational), and
- he is only concerned with his own payoff (he is indifferent to the payoff of the other players)

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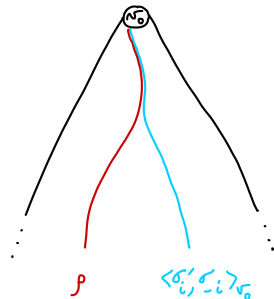
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### Definition [Nas50]

The strategy profile  $(\sigma_i)_{i \in \Pi}$  with outcome  $\rho$  from  $v_0$  is a **Nash equilibrium (NE)** if, for each player  $i \in \Pi$ , for each strategy  $\sigma'_i$  of  $i$ ,

$$f_i(\rho) \not\leq f_i(\langle \sigma'_i, \sigma_{-i} \rangle_{v_0})$$

**Notation:**  $\sigma_{-i} = (\sigma_j)_{j \in \Pi \setminus \{i\}}$



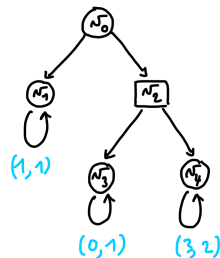
**Informally**,  $(\sigma_i)_{i \in \Pi}$  is an NE if no player has an incentive to **deviate** from his strategy, if the other players stick to their own strategies

# Nash equilibria

## Example

### Simple game

- with 2 players
- with 3 plays
- and their payoffs indicated below

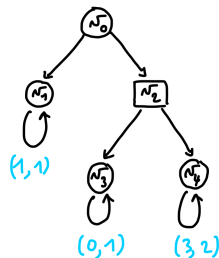


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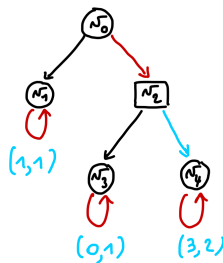
## Example

### Simple game

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- with 3 plays
- and their payoffs indicated below



- NE with outcome  $v_0 v_2 v_4^\omega$  with payoff  $(3, 2)$
- No incentive to deviate:
  - If player 1 deviates to  $v_1$ , he will get 1 instead of 3
  - If player 2 deviates to  $v_3$ , he will get 1 instead of 2



# Algorithmic results on NE

## Theorem

### Qualitative objectives

- [GU08]: Existence of an NE in case of **Borel objectives**

### Quantitative objectives

- [Kuh53]: Existence and **construction** of an NE for games played on a **finite tree**

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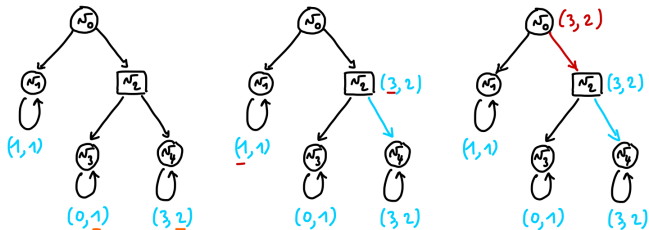
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**Proof of [Kuh53]:** Backward induction from the leaves to the root



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Given a game  $G$  and a player  $i$ ,

- $G_i$  is a **two-player zero-sum** game with players  $i$  and  $-i$  (**coalition**), and payoff function  $f_i$
- In  $G_i$ , a vertex  $v$  has a **value**  $val_i(v)$  if
  - player  $i$  has a strategy  $\tau_i^v$  to ensure a payoff  $\geq val_i(v)$  from  $v$
  - player  $-i$  has a strategy  $\tau_{-i}^v$  to ensure a payoff  $\leq val_i(v)$  from  $v$
- The strategies  $\tau_i^v$  and  $\tau_{-i}^v$  are called **optimal**



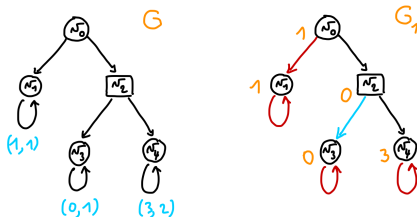
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  - player  $i$  has a strategy  $\tau_i^v$  to ensure a payoff  $\geq val_i(v)$  from  $v$
  - player  $-i$  has a strategy  $\tau_{-i}^v$  to ensure a payoff  $\leq val_i(v)$  from  $v$
- The strategies  $\tau_i^v$  and  $\tau_{-i}^v$  are called **optimal**

## Example



## Algorithmic results on NE

### Theorem [BDS13]

Let  $G$  be a multiplayer non zero-sum game such that for all  $i$

- the payoff function  $f_i$  satisfies:  $f_i(\rho) \leq f_i(\rho') \Rightarrow f_i(h\rho) \leq f_i(h\rho')$
- the zero-sum game  $G_i$  has **uniform positional optimal**  $\tau_i$  and  $\tau_{-i}$  strategies for both players

Then one can **construct** a simple **finite-memory NE** in  $G$

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**Construction:** The NE profile  $\sigma$  is as follows

- play as  $\tau_i$  for each player  $i$   
(player  $i$  plays selfishly and optimally with respect to  $f_i$ )
- and as soon as some player  $i$  deviates, punish  $i$  by playing  $\tau_{-i}$   
(coalition  $-i$  plays against player  $i$  with respect to  $f_i$ )

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### Many applications

- Reachability, Büchi, Parity, Sup, LimSup, Mean-payoff, Discounted-sum, ...

## Algorithmic results on NE

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**Threshold/constraint problem for NEs** [Umm08, UW11b, KLST12]

Büchi/LimSup	Reach/Sup	Parity	$\overline{\text{MP}}$
P-complete		NP-complete	

- thanks to a characterization of NE outcomes based on games  $G_i$
- Open for  $\text{Disc}^\lambda$

## Other kinds of equilibria

### Secure equilibrium (SE) [CHJ06]

- each player wants to maximize his payoff, as a **first** objective
- and then minimize the payoff of the other players, as a **second** objective

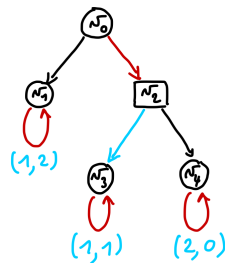
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- and then minimize the payoff of the other players, as a **second** objective

### Example

- SE with payoff (1, 1)
- No incentive to deviate
  - If Player 2 deviates, he gets 0 instead of 1
  - If Player 1 deviates, he keeps his payoff 1 but he increases the payoff of Player 2



## Other kinds of equilibria

### Secure equilibrium (SE) [CHJ06]

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- and then minimize the payoff of the other players, as a **second** objective

### Theorem

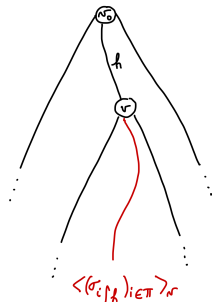
- [CHJ06]: Existence of an SE for **2-player** games with **qualitative Borel objectives**. Result extended to  **$n$ -player** games in [DFK<sup>+</sup>14]
- [BMR14]: Previous general approach for NEs extended to **SE** for **2-player** games



## Other kinds of equilibria

### Subgame perfect equilibrium (SPE) [Sel65]

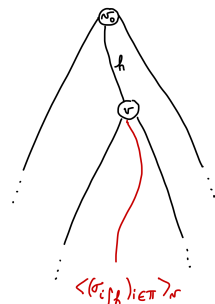
- takes into account the sequential nature of games played on graphs
- i.e., is an NE from the initial vertex  $v_0$ , but also **after every history**  $h$  of the game
- avoids **uncredible threat**



## Other kinds of equilibria

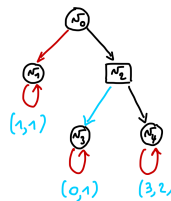
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### Example: NE which is not an SPE

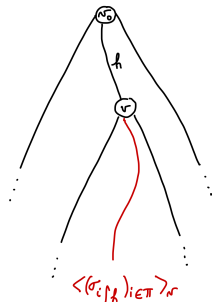
- Player 1 will not deviate, due to the **threat** of player 2
- **Uncredible** threat of player 2
- More rational for player 2 to go to  $v_4$  in the **subgame** induced by  $v_2, v_3, v_4$



## Other kinds of equilibria

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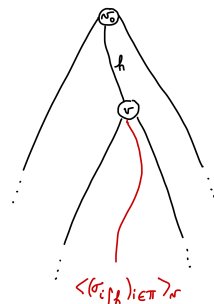
### Theorem

- Previous result of [Kuh53] provides NE and more generally **SPE**
- [GU08]: Existence of an SPE in case of **qualitative Borel objectives**
- [SV03]: Simple example of a game with mean-payoff objectives that has **no SPE**

## Other kinds of equilibria

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### Theorem

- [BBGR18]: The constraint problem for SPE with **reachability** objectives is PSPACE-complete
- [BBMR15]: Construction of a **finite-memory** SPE for **quantitative reachability**
- [BBG<sup>+</sup>19] (Work in progress): The constraint problem for SPE in **quantitative reachability** games is PSPACE-complete

- 1 Topic
- 2 Qualitative two-player zero-sum games
- 3 Quantitative two-player zero-sum games
- 4 Two-player zero-sum games: several extensions
- 5 Multiplayer non zero-sum games
- 6 Conclusion

## Summary

- 2-player zero-sum games, one player against the other
- Qualitative/quantitative uni/multidimensional objectives
- Extension to multiplayer non zero-sum games
- Different notions of equilibria (NE, SE, SPE)
- More results in the [survey](#)

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Thank you!





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